PORTFOLIO MONITORING AND CLAIMS ADMINISTRATION

INVESTMENTS OBSERVED

For institutional fiduciaries, monitoring and protecting investments is as important as selecting them. But how do you keep track of a diverse, active, international securities portfolio without creating a more-than-full-time job? Our proprietary *Securities Tracker* program is the answer.

Securities Tracker Makes it Easy

Offered at *no cost* to our institutional clients, *Securities Tracker* finds every securities action that impacts your fund, puts it on your radar early and monitors it all the way through resolution and disbursement of any recovery. Simply put, *Securities Tracker* is a must-have solution for fulfilling your fiduciary obligations.

More than 200 institutional investors worldwide use *Securities Tracker* to stay current on securities fraud, corporate mismanagement and other fiduciary and shareholder cases. The *Securities Tracker* program includes:

- *New case summaries and analyses:* When a case is filed that impacts your portfolio, you'll receive a report detailing facts, class descriptions and filing deadlines, as well as your estimated financial losses and the strengths and weaknesses of the case. Where appropriate, we'll recommend a course of action to help you maximize loss recovery.
- *Quarterly Reports:* Customized periodic updates of significant developments in cases affecting your investments, including listings of all settled cases and deadlines for filing claims.
- *Email alerts:* Real-time notice of new postings to your custom *Securities Tracker* page.
- *Claims administration and filing*: When one of your cases settles or resolves otherwise, we work with you directly or through your custodian or other third party to ensure that claims are filed and paid. We'll complete and file necessary proofs of claim, help resolve issues with administrators and ensure that you receive payment in full.

- *Settlement Chart:* A personalized settlement chart showing every dollar we've recovered from securities class action settlements on your behalf.

Up-to-date Information

Securities Tracker works 24/7, 365 days a year. So even when you're at a conference, on an airplane or in another time zone, we stay on top of your portfolio.

Customized Reports

Securities Tracker reports are based on information we receive from you about your transactional history. You'll receive only information that affects securities in your portfolio, without wading through a lot of extraneous material.

Readily Accessible

Using *Securities Tracker*, you can keep information on cases and settlements in a central location where it can be retrieved on demand by multiple people in your organization. Your reports are provided online, and are fully viewable and downloadable on multiple platforms.

Private and Secure

The security of your information is of paramount concern to us. The *Securities Tracker* site is secured with 256-bit SSL encryption for safety, and includes no client transaction data. Each of your authorized reviewers (including fund trustees, staff, counsel and other personnel) may have unique usernames and passwords. And our fully-staffed Portfolio Monitoring and Claims Administration Department conducts all *Securities Tracker* work in house. None of our services are outsourced.