

## THE **TRUSTED** ONE-STOP RESOURCE FOR SOPHISTICATED MONETIZATION OF CLAIMS

Time-starved Legal, Compliance, and Operations professionals need a single-source platform to obtain the best possible return from their Funds'/Clients' claims arising from securities class actions, anti-trust actions, or the growing number of non-US actions. *SecuritiesTracker* is a secure and comprehensive platform combining portfolio monitoring, claims filing, legal analyses and recommendations, and case evaluations to guide Funds so they may quickly determine how best to monetize claims and avoid leaving money on the table.

### WHAT MAKES **SECURITIES TRACKER** BETTER THAN OTHER CLASS ACTION CLAIMS FILING SERVICES?

#### ▶ GLOBAL COVERAGE

- Identifies virtually every shareholder-related action in jurisdictions around the globe that impacts your investment portfolio
- Analyzes your options for pursuing claims and makes legal recommendations for how best to proceed
- Monitors the progress of all actions through resolution and disbursement of any recovery

#### ▶ SOPHISTICATED LEGAL ANALYSIS

- Real time notice and guidance on new and potential claims worldwide
- Analysis and legal recommendation based on jurisdiction, judge, strengths and weaknesses of allegations/likely defenses, damages, costs, and prospects for success
- Support for Fund professionals burdened with making informed decisions on how to protect their interests and rights amid legal complexity
- Never any obligation to pursue active litigation or to select Kessler Topaz as counsel

#### ▶ EXPERIENCED COUNSEL

- No law firm has greater experience or expertise than Kessler Topaz in prosecuting actions around the globe
- Kessler Topaz specializes in representing large, sophisticated institutional investors with varied interests in securities class and direct actions, derivative actions, and merger/acquisition actions
- Kessler Topaz has successfully concluded and/or is currently litigating cases in the Netherlands, Australia, Canada, France, Japan, the United Kingdom, Germany, Brazil and Portugal

#### ▶ CLAIMS FILING AT A FRACTION OF THE COST

- Most competitive claims filing pricing in the field
- Variety of pricing models to suit your needs (annual subscription, a contingency percentage, or a hybrid of both)
- *SecuritiesTracker* adds value and lowers your costs at the same time

## WHY FUNDS NEED *SECURITIES*TRACKER

### ▶ AN INCREASING NUMBER OF OPPORTUNITIES

As the laws and requirements for pursuing actions and claims in the United States and around the world continue to evolve, the number, magnitude and complexity of such actions and claims continue to grow. Accordingly, it is more important than ever for institutional investors of all types to implement a process for identifying, monitoring and managing claims around the world so they are prepared to make informed decisions how and when to pursue claims and recoveries.

### ▶ FIDUCIARY RESPONSIBILITY

There is increased regulatory scrutiny concerning Fund's fiduciary duty to protect and make the best use of all client assets, including claims. The *SecuritiesTracker* platform provides detailed analyses and reports that ensure our clients are diligently fulfilling their fiduciary responsibilities to Funds by actively managing shareholder actions in which they have a financial interest.

## WHAT DOES *SECURITIES*TRACKER PROVIDE?

- Summaries and legal analyses for all shareholder litigation in which you have a financial interest
- A full overview and evaluation of all claimant groups in non-U.S. jurisdictions
- Assistance registering for non-U.S. actions
- Detailed quarterly reports
- Comprehensive claims filing, including for antitrust and non-U.S. settlements
- Customized settlement reports
- Monthly industry update newsletters
- A secure, robust and user-friendly online platform

### ▶ DATA SECURITY

*SecuritiesTracker* is SOC I Type I and SOC II Type II certified and utilizes all of the latest data security technologies to ensure the safety of all trading data.

## INVESTORS ARE AFFORDED THE PEACE OF MIND TO KNOW THAT THEY ARE FULLY COVERED

*SecuritiesTracker* is the most comprehensive platform available for identifying, analyzing, tracking, and monetizing shareholder litigation claims. The platform currently provides service to over 350 institutional investors from around the world with a combined AUM exceeding \$8 trillion. Sophisticated investors have come to realize that a "set it and forget it" system for dealing with shareholder litigation is simply not an adequate solution. *SecuritiesTracker* ensures that investors are meeting their responsibilities for sufficiently identifying and considering all claim opportunities and recovering all monies owed to them at the most competitive price.

For more information about *SecuritiesTracker* please contact  
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[SecuritiesTracker.com](https://www.securitiestracker.com)