

Bank of New York Mellon ADR FX Settlement  
c/o KCC Class Action Services  
P.O. Box 505030  
Louisville, KY 40233-5030  
1-866-447-6210  
info@bnymadrfxsettlement.com

**PROOF OF CLAIM AND RELEASE FORM**

**IMPORTANT** – If you receive/have received a Post-Card Notice in the mail in connection with this Settlement, you are a Registered Holder Settlement Class Member (i.e., you hold (or held) the American Depositary Receipts (“ADRs”) covered by this Action directly through The Bank of New York Mellon (“BNYM” or “Defendant”), are listed in the records of BNYM’s transfer agent with respect to such holdings, and your contact, holding, and distribution information was provided to the Claims Administrator by BNYM’s transfer agent), and you **DO NOT** need to complete and submit this Proof of Claim and Release Form (“Claim Form”) to be eligible to receive a share of the Net Settlement Fund in connection with the Settlement. The Post-Card Notice mailed to you contains a Claim Number and PIN to access your holdings and distribution information on the website [www.bnymadrfxsettlement.com](http://www.bnymadrfxsettlement.com). Please refer to paragraph 2 of the General Instructions in this Claim Form and the full Notice available on the website for more information. If you did NOT receive a Post-Card Notice containing a Claim Number and PIN, please follow the instructions below to submit a Claim Form.

IF YOU DO NOT RECEIVE/HAVE NOT RECEIVED A POST-CARD NOTICE IN THE MAIL IN CONNECTION WITH THIS SETTLEMENT, YOU ARE A NON-REGISTERED HOLDER SETTLEMENT CLASS MEMBER AND YOU MUST COMPLETE AND SIGN THIS CLAIM FORM AND MAIL IT BY PREPAID, FIRST-CLASS MAIL TO THE ABOVE ADDRESS, OR SUBMIT IT ONLINE AT [WWW.BNYMADRFXSETTLEMENT.COM](http://WWW.BNYMADRFXSETTLEMENT.COM), **POSTMARKED (OR RECEIVED) NO LATER THAN AUGUST 15, 2019** IN ORDER TO BE ELIGIBLE TO RECEIVE A SHARE OF THE NET SETTLEMENT FUND IN CONNECTION WITH THE SETTLEMENT.

IF YOU ARE A NON-REGISTERED HOLDER SETTLEMENT CLASS MEMBER, FAILURE TO SUBMIT YOUR CLAIM FORM BY THE DATE SPECIFIED ABOVE WILL SUBJECT YOUR CLAIM TO REJECTION AND MAY PRECLUDE YOU FROM BEING ELIGIBLE TO RECEIVE ANY MONEY IN CONNECTION WITH THE SETTLEMENT.

**DO NOT MAIL OR DELIVER YOUR CLAIM FORM TO THE COURT, THE PARTIES, OR THEIR COUNSEL. SUBMIT YOUR CLAIM FORM ONLY TO THE CLAIMS ADMINISTRATOR AT THE ADDRESS SET FORTH ABOVE, OR ONLINE AT [WWW.BNYMADRFXSETTLEMENT.COM](http://WWW.BNYMADRFXSETTLEMENT.COM).**

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Must Be Postmarked  
or Received No Later  
Than August 15, 2019

**BMA**

Official  
Office  
Use  
Only

UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK

*The Bank of New York Mellon ADR FX Litigation*

Civil Action No. 16-CV-00212-JPO-JLC

**PROOF OF CLAIM AND RELEASE**

Please Type or Print in the Boxes Below  
Do NOT use Red Ink, Pencil, or Staples

**PART I – CLAIMANT IDENTIFICATION**

Last Name

[Grid for Last Name]

M.I.

[Grid for M.I.]

First Name

[Grid for First Name]

Last Name (Co-Beneficial Owner)

[Grid for Last Name (Co-Beneficial Owner)]

M.I.

[Grid for M.I.]

First Name (Co-Beneficial Owner)

[Grid for First Name (Co-Beneficial Owner)]

IRA       Joint Tenancy       Employee       Individual       Other \_\_\_\_\_  
Company Name (Beneficial Owner - If Claimant is not an Individual) or Custodian Name if an IRA (specify)

[Grid for Company Name]

Trustee/Asset Manager/Nominee/Record Owner's Name (If Different from Beneficial Owner Listed Above)

[Grid for Trustee/Asset Manager/Nominee/Record Owner's Name]

Account#/Fund# (Not Necessary for Individual Filers)

[Grid for Account#/Fund#]

Last Four Digits of Social Security Number

[Grid for Last Four Digits of Social Security Number]

or

Taxpayer Identification Number

[Grid for Taxpayer Identification Number]

Telephone Number (Primary Daytime)

[Grid for Telephone Number (Primary Daytime)]

Telephone Number (Alternate)

[Grid for Telephone Number (Alternate)]

Email Address

[Grid for Email Address]

**MAILING INFORMATION**

Address 1

[Grid for Address 1]

Address 2

[Grid for Address 2]

City

[Grid for City]

State

[Grid for State]

Zip Code

[Grid for Zip Code]

Foreign Province

[Grid for Foreign Province]

Foreign Postal Code

[Grid for Foreign Postal Code]

Foreign Country Name/Abbreviation

[Grid for Foreign Country Name/Abbreviation]

FOR CLAIMS  
PROCESSING  
ONLY

OB

[Grid for OB]

CB

[Grid for CB]

ATP  
 KE  
 ICI

BE  
 DR  
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FL  
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OP  
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 SH

[Grid for MM/DD/YYYY]

FOR CLAIMS  
PROCESSING  
ONLY



## PART II – GENERAL INSTRUCTIONS

1. It is important that you completely read and understand the Notice of (I) Pendency of Class Action and Proposed Settlement; (II) Final Approval Hearing; and (III) Motion for Attorneys' Fees and Reimbursement of Litigation Expenses (the "Notice") available at [www.bnymadrfxsettlement.com](http://www.bnymadrfxsettlement.com), including the proposed Plan of Allocation of Net Settlement Fund attached as Exhibit 1 to the Notice. The Notice describes the proposed Settlement, how Settlement Class Members are affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed if the Settlement and Plan of Allocation are approved by the Court. The Notice also contains the definitions of many of the defined terms (which are indicated by initial capital letters) used in this Claim Form. By signing and submitting this Claim Form, you will be certifying that you have read and understand the Notice, including the terms of the Releases described therein and provided for herein.

2. **Important - Please Note:** Only Non-Registered Holder Settlement Class Members, including those Settlement Class Members who hold (or held) their eligible ADRs through a bank, broker or other nominee rather than directly, must submit a Claim Form in order to be eligible to receive a payment from the Settlement. Those Settlement Class Members who receive/have received a Post-Card Notice in the mail (i.e., Registered Holder Settlement Class Members) do not need to submit a Claim Form in order to be eligible to receive a payment from the Settlement. The Post-Card Notice mailed to Registered Holder Settlement Class Members contains a unique Claim Number and PIN to access, on the website [www.bnymadrfxsettlement.com](http://www.bnymadrfxsettlement.com), information regarding the ADRs they held and the cash distributions they received during the relevant period in connection with their holdings as provided by BNYM's transfer agent, which information will be used to calculate their Claims. If you received a Post-Card Notice, please review the information regarding your holdings and cash distribution as set forth on the website to confirm it is accurate and complete. If the information regarding your holdings and cash distributions is incorrect or incomplete, you must notify the Claims Administrator immediately. Otherwise, the Claims Administrator will assume the information is correct and complete, and will use such information to calculate your Claim. **If you are unsure whether you are a Non-Registered Holder Settlement Class Member or a Registered Holder Settlement Class Member, please contact the Claims Administrator.**

3. By submitting this Claim Form, you will be making a request to share in the proceeds of the Settlement described in the Notice. **IF YOU ARE NOT A SETTLEMENT CLASS MEMBER** (see definition of Settlement Class on page 6 of the Notice, which sets forth who is included in and who is excluded from the Settlement Class), **OR IF YOU, OR SOMEONE ACTING ON YOUR BEHALF, SUBMITTED A REQUEST FOR EXCLUSION FROM THE SETTLEMENT CLASS, DO NOT SUBMIT A CLAIM FORM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A SETTLEMENT CLASS MEMBER.** **THUS, IF YOU ARE EXCLUDED FROM THE SETTLEMENT CLASS, ANY CLAIM FORM THAT YOU SUBMIT, OR THAT MAY BE SUBMITTED ON YOUR BEHALF, WILL NOT BE ACCEPTED.**

4. **Submission of this Claim Form does not guarantee that you will share in the proceeds of the Settlement. The distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.**

5. Use the Schedule of Cash Distributions Per Eligible ADR in Part III of this Claim Form to supply all required information regarding the cash distributions you received as a result of your holdings in the ADRs covered by the Action. Please provide all of the requested information.

6. You are required to submit genuine and sufficient documentation for all of the cash distributions set forth in the Schedule of Cash Distributions Per Eligible ADR in Part III of this Claim Form. Documentation may consist of copies of your end of year account statements, or an authorized statement from your broker containing the information regarding your cash distributions that would be found in a year-end account statement. **Please Note:** If you are a Non-Registered Holder Settlement Class Member, the Parties and the Claims Administrator do not independently have information about your holdings in the ADRs covered by the Action or the cash distributions you may have received as a result of such holdings. **IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OR EQUIVALENT DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, please do not highlight any portion of the Claim Form or any supporting documents.**

7. Separate Claim Forms should be submitted for each separate legal entity.

8. All joint beneficial owners must each sign this Claim Form and their names must appear as "Claimants" in Part I of this Claim Form.



9. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons represented by them, and they must:

- (a) expressly state the capacity in which they are acting;
- (b) identify the name, account number, last four digits of the SSN (or TIN), address and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the eligible ADRs; and
- (c) furnish herewith evidence of their authority to bind to the Claim Form the person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person's accounts.)

10. By submitting a signed Claim Form, you will be swearing that you:

- (a) received the cash distributions you have listed in the Claim Form; or
- (b) are expressly authorized to act on behalf of the owner of the ADRs that received such cash distributions.

11. By submitting a signed Claim Form, you will be swearing to the truth of the statements contained therein and the genuineness of the documents attached thereto, subject to penalties of perjury under the laws of the United States of America. The making of false statements, or the submission of forged or fraudulent documentation, will result in the rejection of your Claim and may subject you to civil liability or criminal prosecution.

12. If the Court approves the Settlement, payments to eligible Authorized Recipients pursuant to the Plan of Allocation (or such other plan of allocation as the Court approves) will be made after any appeals are resolved, and after the completion of all Claims processing. The Claims process could take substantial time to complete fully and fairly. Please be patient.

13. **PLEASE NOTE:** As set forth in the Plan of Allocation, each Authorized Recipient shall receive his, her or its *pro rata* share of the Net Settlement Fund. If the prorated payment to any Authorized Recipient calculates to less than \$1.00, it will not be included in the calculation and no distribution will be made to that Authorized Recipient.

14. If you have questions concerning the Claim Form, or need additional copies of the Claim Form or the Notice, you may contact the Claims Administrator, KCC Class Action Services, at the above address, by toll-free phone at (866) 447-6210, or by e-mail at [info@bnymadrfxsettlement.com](mailto:info@bnymadrfxsettlement.com), or you may download the documents from the website for the Settlement, [www.bnymadrfxsettlement.com](http://www.bnymadrfxsettlement.com).

15. **NOTICE REGARDING ELECTRONIC FILES:** Certain Claimants may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the mandatory electronic filing requirements and file layout, you may visit the Settlement website at [www.bnymadrfxsettlement.com](http://www.bnymadrfxsettlement.com) or you may email the Claims Administrator's electronic filing department at [Nominees@bnymadrfxsettlement.com](mailto:Nominees@bnymadrfxsettlement.com). Any file not in accordance with the required electronic filing format will be subject to rejection. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues an email after processing your file with your claim numbers and respective account information. **Do not assume that your file has been received or processed until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at [Nominees@bnymadrfxsettlement.com](mailto:Nominees@bnymadrfxsettlement.com) to inquire about your file and confirm it was received and acceptable.**

**IMPORTANT: PLEASE NOTE**

**YOUR CLAIM IS NOT DEEMED FILED UNTIL YOU RECEIVE AN ACKNOWLEDGEMENT POSTCARD. THE CLAIMS ADMINISTRATOR WILL ACKNOWLEDGE RECEIPT OF YOUR CLAIM FORM BY MAIL, WITHIN 60 DAYS. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, PLEASE CALL THE CLAIMS ADMINISTRATOR TOLL FREE AT (866) 447-6210.**



**PART III. SCHEDULE OF CASH DISTRIBUTIONS PER ELIGIBLE ADR**

**Please be sure to include proper documentation with your Claim Form as described in detail in Part II – General Instructions, paragraph 6, above.**

A. Please fill in the total cash distributions you received from January 1, 1997 through January 17, 2019 for each of the ADRs set forth in the list attached hereto as Exhibit 1.

ADR CODE	Total Cash Distributions Received from January 1, 1997 though January 17, 2019	Confirm Proof Enclosed
<input type="text"/>	\$ <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/>	\$ <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/>	\$ <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/>	\$ <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/>	\$ <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/>	\$ <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/>	\$ <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/>	\$ <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/>	\$ <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/>	\$ <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS PLEASE PHOTOCOPY THIS PAGE,  
WRITE YOUR NAME ON THE COPY AND FILL THIS CIRCLE:

IF YOU DO NOT FILL IN THIS CIRCLE THESE ADDITIONAL PAGES MAY NOT BE REVIEWED.

YOU MUST READ AND SIGN THE RELEASE ON PAGE 15. FAILURE TO SIGN THE RELEASE  
MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.



## EXHIBIT 1

ADR/CUSIPs	Code (To be entered in PART III above)	ADR/CUSIPs	Code (To be entered in PART III above)
<b>ABI Sab Group Holding Ltd</b> (CUSIPs: 78572M105 / 836216309 / 836220103)	<b>ABIS</b>	<b>Legal &amp; General Group Plc</b> (CUSIP: 52463H103)	<b>LEGA</b>
<b>Accor SA</b> (CUSIPs: 00435F101 / 00435F309)	<b>ACCO</b>	<b>Lendlease Group</b> (CUSIP: 526023205)	<b>LEND</b>
<b>Adidas AG</b> (CUSIP: 00687A107)	<b>ADID</b>	<b>LHR Airports Ltd</b> (CUSIP: 05518L206)	<b>LHRA</b>
<b>Administradora de Fondos de Pe</b> 00709P108	<b>ADMI</b>	<b>Liberty Group Ltd</b> (CUSIPs: 140487109 / 530616101 / 53055R103 / 53055R202 / 530706100 / 530706209)	<b>LIBE</b>
<b>AES Tiete Energia SA</b> (CUSIPs: 00809V203 / 00808P207 / 00808P108)	<b>AEST</b>	<b>Lihir Gold Ltd</b> (CUSIPs: 532349206 / 532349107)	<b>LIHI</b>
<b>Aixtron SE</b> (CUSIP: 009606104)	<b>AIXT</b>	<b>Lloyds Banking Group Plc</b> (CUSIP: 539439109)	<b>LLOY</b>
<b>Alcatel-Lucent SA</b> (CUSIP: 013904305)	<b>ALCA</b>	<b>Lonmin Plc</b> (CUSIPs: 54336Q104 / 54336Q203 / 543374409)	<b>LONM</b>
<b>Allied Irish Banks PLC</b> (CUSIPs: 019228402 / 019228303)	<b>ALLI</b>	<b>Lukoil Pjsc</b> (CUSIPs: 69343P105 / 677862104 / 677862807 / 677862302 / 677862203)	<b>LUKO</b>
<b>Alstom SA</b> (CUSIP: 021244108)	<b>ALST</b>	<b>Luxottica Group Spa</b> (CUSIP: 55068R202)	<b>LUXO</b>
<b>Altana AG</b> (CUSIP: 02143N103)	<b>ALTA</b>	<b>Lvmh Moet Hennessy Louis Vuitt</b> (CUSIP: 502441207)	<b>LYMH</b>
<b>Alumina Ltd.</b> (CUSIP: 022205108)	<b>ALUM</b>	<b>Macquarie Group Ltd</b> (CUSIPs: 55607P105 / 55607P204)	<b>MACQ</b>
<b>Ambev SA</b> (CUSIPs: 20441W203 / 02319V103)	<b>AMBE</b>	<b>Madeco, S.A.</b> (CUSIPs: 556304103 / 556304202)	<b>MADE</b>
<b>Anglo American Plc.</b> (CUSIPs: 03485P102 / 03485P300)	<b>ANGA</b>	<b>Mahanagar Telephone Nigam Ltd</b> (CUSIP: 559778402)	<b>MAHA</b>
<b>Anglo Platinum</b> (CUSIP: 035078104)	<b>ANGP</b>	<b>Makita Corp</b> (CUSIP: 560877300)	<b>MAKI</b>
<b>Anglogold Ashanti Ltd.</b> (CUSIPs: 035128206 / 043743103 / 043743202)	<b>ANGL</b>	<b>Mannesmann A.G.</b> (CUSIP: 563775303)	<b>MANN</b>
<b>Anheuser-Busch Inbev SA/NV</b> (CUSIPs: 03524A108 / 157123209 / 40051F100 / 74838Y207)	<b>ANHB</b>	<b>Masisa SA</b> (CUSIPs: 574799102 / 574800108)	<b>MASI</b>
<b>Arkema SA</b> (CUSIP: 041232109)	<b>ARKE</b>	<b>Massmart Holdings Ltd</b> (CUSIP: 576290100)	<b>MASS</b>
<b>Arm Holdings Plc.</b> (CUSIP: 042068106)	<b>ARMH</b>	<b>Metso Oyj</b> (CUSIPs: 592671101 / 754183101 / 920232303)	<b>METS</b>
<b>Assicurazioni Generali Spa</b> (CUSIP: 465234102)	<b>ASSI</b>	<b>Mizuho Financial Group Inc</b> (CUSIPs: 359558103 / 60687Y109)	<b>MIZU</b>
<b>Astra AB</b> (CUSIPs: 046298105 / 046298204)	<b>ASTR</b>	<b>Mmc Norilsk Nickel Pjsc</b> (CUSIPs: 46626D108 / 55315J102)	<b>MMCN</b>
<b>Australia &amp; New Zealand Banking</b> (CUSIP: 052528304)	<b>AUST</b>	<b>MMI Holdings Ltd/South Africa</b> (CUSIP: 55314H107)	<b>MMIH</b>
<b>AV Gold</b> (CUSIP: 035134303)	<b>AVGO</b>	<b>Mobile Telesystems Pjsc</b> (CUSIP: 61946A106)	<b>MOBI</b>



ADR/CUSIPs	Code (To be entered in PART III above)	ADR/CUSIPs	Code (To be entered in PART III above)
<b>AXA SA</b> (CUSIPs: 054536107 / 149188104 / 866791106)	<b>AXAS</b>	<b>Mol Hungarian Oil &amp; Gas Plc</b> (CUSIP: 831595202)	<b>MOLH</b>
<b>B.A.</b> (CUSIPs: 060587508/ 060593100)	<b>BBAA</b>	<b>Mosenergo Pjsc</b> (CUSIPs: 037376100 / 037376308)	<b>MOSE</b>
<b>Banco Bilbao Vizcaya Argentari</b> (CUSIPs: 059458208 / 059456202 / 059456301 / 059456103 / 058925108 / 05946K101 / 059594408 / 059594507 / 07329Q507 / 07329Q200 / 07329Q309)	<b>BBVA</b>	<b>MTN Group Ltd</b> (CUSIP: 62474M108)	<b>MTNG</b>
<b>Banco Comercial Portugues SA</b> (CUSIPs: 059479303 / 059479709)	<b>BACP</b>	<b>National Australia Bank Ltd</b> (CUSIP: 632525408)	<b>NAAB</b>
<b>Banco Do Brasil SA</b> (CUSIP: 059578104)	<b>BADB</b>	<b>National Bank of Greece SA</b> (CUSIPs: 633643507 / 633643408)	<b>NABG</b>
<b>Banco Popolare SC</b> (CUSIPs: 059471102 / 059633107)	<b>BAPO</b>	<b>National Grid</b> (CUSIPs: 636274102 / 636274300 / 636274409)	<b>NATG</b>
<b>Banco Santander Brasil SA</b> (CUSIPs: 05964H105 / 05967A107)	<b>BASB</b>	<b>National Power Plc</b> (CUSIP: 637194408)	<b>NATP</b>
<b>Banco Santander Chile</b> (CUSIPs: 05965F108 / 05965X109)	<b>BASC</b>	<b>Natuzzi Spa</b> (CUSIP: 63905A101)	<b>NATU</b>
<b>Bank of Ireland</b> (CUSIP: 46267Q103)	<b>BAOI</b>	<b>NEC Corp</b> (CUSIPs: 629050204 / 81661W109)	<b>NECC</b>
<b>Bank of Tokyo – Mitsubishi FJ L</b> (CUSIP: 065379109)	<b>BOTM</b>	<b>Nedbank Group Ltd</b> (CUSIPs: 63975P103 / 63975K104 / 63975P202)	<b>NEDB</b>
<b>Barclays Africa Group Ltd.</b> (CUSIPs: 06738E204 / 06742G302 / 06739H776 / 06739H511 / 06739H362 / 06739F390)	<b>BAAG</b>	<b>Net Servicos de Comunicacao SA</b> (CUSIP: 37957X102)	<b>NETS</b>
<b>BASF SE</b> (CUSIPs: 055262505 / 019097104)	<b>BASF</b>	<b>Newcrest Mining Ltd</b> (CUSIP: 651191108)	<b>NEWC</b>
<b>Bass Plc.</b> (CUSIP: 069904209)	<b>BASS</b>	<b>Newmont Australia Pty Ltd</b> (CUSIPs: 390290104 / 656190105 / 656190204)	<b>NEWM</b>
<b>BAT Industries Plc.</b> (CUSIP: 055270508)	<b>BATI</b>	<b>Nippon Yusen KK</b> (CUSIP: 654633304)	<b>NIPP</b>
<b>Bayer AG</b> (CUSIP: 072730302)	<b>BAYE</b>	<b>Nomura Holdings Inc</b> (CUSIP: 65535H208)	<b>NOMU</b>
<b>BBVA Banco Frances SA</b> (CUSIPs: 059591107 / 07329M100)	<b>BBVA</b>	<b>NTT Docomo Inc</b> (CUSIPs: 62942M201 / 62942M102 / 629424201 / 62942M300 / 629424102 / 629424508 / 629424409)	<b>NTTD</b>
<b>BG Group Ltd.</b> (CUSIPs: 055434203 / 052578408 / 055434104 / 780259206 / 780259107)	<b>BGGR</b>	<b>Orange Polska SA</b> (CUSIP: 87943D108)	<b>ORAN</b>



ADR/CUSIPs	Code (To be entered in PART III above)	ADR/CUSIPs	Code (To be entered in PART III above)
<b>Bidvest Group LTD/THE</b> (CUSIPs: 088836101 / 088836200 / 088836309)	<b>BIDV</b>	<b>Orange SA</b> (CUSIPs: 35177Q105 / 35177Q204 / 35177QAB1)	<b>ORNG</b>
<b>Billabong International</b> (CUSIP: 090055104)	<b>BILL</b>	<b>Orkla Asa</b> (CUSIP: 686331109)	<b>ORKL</b>
<b>Blue Circle Industries</b> (CUSIPs: 095342408 / 095342507)	<b>BLUE</b>	<b>Partner Communications Co Ltd</b> (CUSIP: 70211M109)	<b>PART</b>
<b>BNP Paribas SA</b> (CUSIPs: 05565A202 / 05565A103 / 066747106)	<b>BNPP</b>	<b>Pearson Plc</b> (CUSIP: 705015105)	<b>PEAR</b>
<b>Boehler-Uddeholm AG</b> (CUSIP: 097356307)	<b>BOEH</b>	<b>Pernod Ricard SA</b> (CUSIPs: 019121102 / 714264108)	<b>PERN</b>
<b>Brasil Telecom Participacoes S</b> (CUSIPs: 10553M101 / 10553M200 / 105530109 / 670851104 / 670851203)	<b>B RTP</b>	<b>Petrochina Co Ltd</b> (CUSIP: 71646E100)	<b>PETR</b>
<b>Brasilagro – Co Brasileira De</b> (CUSIP: 10554B104)	<b>BRCB</b>	<b>Petroleo Brasileiro SA</b> (CUSIPs: 71654V101 / 71654V408)	<b>PEBR</b>
<b>Braksem SA</b> (CUSIPs: 105532105 / 217252105 / 86959M101)	<b>BRAS</b>	<b>PflIn 1.35</b> (CUSIP: 74050U206)	<b>PFLL</b>
<b>BRF SA</b> (CUSIPs: 10552T107 / 71361V204 / 71361V303 / 71361V105)	<b>BRFS</b>	<b>Pharol Sgps SA</b> (CUSIP: 737273102)	<b>PHAR</b>
<b>British American Tobacco Plc.</b> (CUSIP: 110448107)	<b>BRIT</b>	<b>Polski Koncern Naftowy Orlen S</b> (CUSIP: 731613402)	<b>POLS</b>
<b>British Steel</b> (CUSIP: 111015301)	<b>BRST</b>	<b>Polyus Pjsc</b> (CUSIPs: 678129107 / 73181P102)	<b>POLY</b>
<b>Bunzl Plc.</b> (CUSIPs: 120738406 / 120738307)	<b>BUNZ</b>	<b>Powergen Ltd</b> (CUSIP: 738905405)	<b>POWE</b>
<b>Burmah Castrol Plc.</b> (CUSIP: 122169303)	<b>BURM</b>	<b>Premier Farnell Ltd</b> (CUSIP: 74050U107)	<b>PREM</b>
<b>Cencosud SA</b> (CUSIPs: 15132H101 / 802233106)	<b>CENC</b>	<b>Provident Financial Plc</b> (CUSIP: 74387B103)	<b>PROV</b>
<b>Centrica Plc.</b> (CUSIPs: 15639K102 / 15639K201 / 15639K300)	<b>CENT</b>	<b>Publicis Groupe SA</b> (CUSIPs: 74463M106 / F76080112 / 785144205)	<b>PUBL</b>
<b>Chilcott UK Ltd.</b> (CUSIPs: 363240102 / 93443W109)	<b>CHIL</b>	<b>Qantas Airways Ltd</b> (CUSIPs: 74726M406 / 74726M505)	<b>QANT</b>
<b>China Agri-Industries Holdings</b> (CUSIP: 16940R109)	<b>CHIN</b>	<b>QBE Insurance Group Ltd</b> (CUSIP: 74728G605)	<b>QBEI</b>
<b>Chorus Ltd.</b> (CUSIP: 17040V107)	<b>CHOR</b>	<b>Racal Electronics Plc</b> (CUSIP: 749815403)	<b>RACA</b>
<b>Chunghwa Telecom Co., Ltd.</b> (CUSIP: 17133Q205)	<b>CHUN</b>	<b>Randstad UK Holding Ltd</b> (CUSIP: 81617E203)	<b>RAND</b>
<b>CIA Brasileira De Distribuicao</b> (CUSIPs: 20440T201 / 20440T102)	<b>CBDD</b>	<b>Rbs 11.2 Perp</b> (CUSIP: 780097309)	<b>RBSA</b>
<b>CIA Cervejaria Brahma</b> (CUSIPs: 20440X103 / 20440X202)	<b>CCBR</b>	<b>Rbs 6.35 Perp</b> (CUSIP: 780097770)	<b>RBSB</b>
<b>Cia DeBebidas Das Americas-AM</b> (CUSIP: 20441W104)	<b>CBDA</b>	<b>Rbs 8 1/2 Perp</b> (CUSIP: 780097804 / 780097853)	<b>RBSC</b>
<b>Cia De Saneamento Basico Do Es</b> (CUSIP: 20441A102)	<b>CDSB</b>	<b>Rbs 8.1 Perp</b> (CUSIP: 780097705)	<b>RBSD</b>
<b>Cia De Transmissao De Energia</b> (CUSIPs: 20441Q107 / 20441Q206)	<b>CDTD</b>	<b>Rbs 8.2125 Perp</b> (CUSIP: 780097606)	<b>RBSE</b>





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<b>Cia Energetica De Sao Paulo</b> (CUSIPs: 20440P209 / 20440P407)	<b>CESP</b>	<b>Rbs 9 1/2 Perp</b> (CUSIP: 780097408)	<b>RBSF</b>
<b>Cia Paranaense De Energia</b> (CUSIPs: 20441B308 / 20441B407)	<b>CIPE</b>	<b>Reed Elsevier NV</b> (CUSIPs: 758204101 / 758205108 / 758204200 / 758205207)	<b>REED</b>
<b>Cie Financiere Richemont SA</b> (CUSIP: 204318109)	<b>CIEF</b>	<b>Rentokil Initial Plc</b> (CUSIP: 760125104)	<b>RENT</b>
<b>Coca Cola Hellenic Bottling Co.</b> (CUSIP: 1912EP104)	<b>COCA</b>	<b>Repsol SA</b> (CUSIP: 76026T205)	<b>REPS</b>
<b>Coca-Cola Amatil Ltd.</b> (CUSIP: 191085208)	<b>COAM</b>	<b>Rexam Ltd</b> (CUSIPs: 761655406 / 761655505 / 761655604)	<b>REXA</b>
<b>Coca-Cola Femsa Sab De CV</b> (CUSIP: 191241108)	<b>COFE</b>	<b>Rhodia SA</b> (CUSIPs: 762397107 / 762397206)	<b>RHOD</b>
<b>Coflexip SA</b> (CUSIP: 192384105)	<b>COFL</b>	<b>Rio Tinto France Sas</b> (CUSIP: 705151207)	<b>RIOF</b>
<b>Commerzbank AG</b> (CUSIPs: 202597308 / 202597605)	<b>COMM</b>	<b>Rio Tinto Plc</b> (CUSIPs: 767202104 / 767204100 / 045074101 / 126170505 / 74974K706)	<b>RIOT</b>
<b>Commonwealth Bank of Australia</b> (CUSIPs: 202712303 / 202712600)	<b>CBOA</b>	<b>Roche Holding AG</b> (CUSIPs: 771195104 / 771195401)	<b>ROCH</b>
<b>Comp. De Geracao De Energia El</b> (CUSIPs: 20441P109 / 20441P208 / 20441R204 / 20441R105 / 264398108 / 264398207)	<b>CDGE</b>	<b>Rolls-Royce Holdings Plc</b> (CUSIP: 775781206)	<b>ROLL</b>
<b>Compass Group Plc.</b> (CUSIPs: 20449X104 / 20449X203 / 20449X302)	<b>COMP</b>	<b>Royal Bank of Scotland/ABN</b> (CUSIPs: 780097721 / 780097739)	<b>ROYA</b>
<b>Continental AG</b> (CUSIP: 210771200)	<b>CONT</b>	<b>Rushydro Pjsc</b> (CUSIPs: 466294105 / 782183123 / 782183131 / 782183404 / 466294204)	<b>RUSH</b>
<b>Converium</b> (CUSIP: 21248N107)	<b>CONV</b>	<b>RWE AG</b> (CUSIPs: 74975E303 / 74975E402)	<b>RWEA</b>
<b>Corus Group Ltd.</b> (CUSIP: 22087M101)	<b>CORU</b>	<b>RWE Generation UK Holdings Plc</b> (CUSIP: 45769A103)	<b>RWEG</b>
<b>Cosco Shipping International S</b> (CUSIP: 22112Y203)	<b>COSC</b>	<b>Ryanair Holdings Plc</b> (CUSIP: 783513104)	<b>RYAN</b>
<b>Craneware Plc.</b> (CUSIP: 224465104)	<b>CRAN</b>	<b>Sadia SA</b> (CUSIP: 786326108)	<b>SADI</b>
<b>Crayfish Co. Ltd.</b> (CUSIP: 225226208)	<b>CRAY</b>	<b>Sanofi</b> (CUSIPs: 80105N105 / 762426AC8 / 762426401 / 80105N204)	<b>SANO</b>
<b>Credit Suisse Group AG</b> (CUSIP: 225401108)	<b>CRED</b>	<b>Santander UK Plc</b> (CUSIPs: 002920106 / 002920700)	<b>SANT</b>
<b>CRH Plc.</b> (CUSIP: 12626K203)	<b>CRHP</b>	<b>Sanuk 8 3/4 Perp</b> (CUSIP: 002920205)	<b>SANU</b>
<b>Crucell NV</b> (CUSIP: 228769105)	<b>CRUC</b>	<b>Sap SE</b> (CUSIPs: 803054204 / 803054303)	<b>SAPS</b>
<b>Dai Nippon Printing Co Ltd</b> (CUSIP: 233806306)	<b>DAIN</b>	<b>Sappi Ltd.</b> (CUSIPs: 803069103 / 803069202 / 108510041)	<b>SAPP</b>
<b>Danka Business Systems Plc</b> (CUSIP: 236277109)	<b>DABS</b>	<b>Sasol Ltd.</b> (CUSIP: 803866300)	<b>SASO</b>
<b>DBS Group Holdings Ltd</b> (CUSIP: 23304Y100)	<b>DBSG</b>	<b>Sberbank of Russia Pjsc</b> (CUSIP: 80585Y308)	<b>SBER</b>
<b>Delhaize Group Sca</b> (CUSIP: 29759W101)	<b>DELH</b>	<b>Scor SE</b> (CUSIP: 80917Q106)	<b>SCOR</b>



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<b>Deutsche Bank AG</b> (CUSIP: 251525309)	<b>DEUT</b>	<b>Scottish Power Plc</b> (CUSIPs: 81013T408 / 81013T705)	<b>SCOT</b>
<b>Deutsche Lufthansa AG</b> (CUSIPs: 251561304 / 549836500)	<b>DEUL</b>	<b>Sega Sammy Holdings Inc</b> (CUSIP: 815794102)	<b>SEGA</b>
<b>Deutsche Post AG</b> (CUSIP: 25157Y202)	<b>DEUP</b>	<b>Sekisui House Ltd</b> (CUSIP: 816078307)	<b>SEKI</b>
<b>Diageo Plc</b> (CUSIPs: 25243Q205 / 25243Q106 / 402033302)	<b>DIAG</b>	<b>Serono</b> (CUSIP: 81752M101)	<b>SERO</b>
<b>Dollar Pref Restricted 4-2 b e</b> (CUSIPs: 6162*1019 / 6162*1017)	<b>DOLL</b>	<b>Seversky Tube Works Pjsc</b> (CUSIP: 818146102)	<b>SEVE</b>
<b>Dominion Mining Ltd</b> (CUSIP: 257457309)	<b>DOMN</b>	<b>Shell Transport &amp; Trading Co I</b> (CUSIP: 822703609)	<b>SHEL</b>
<b>Drdgold Ltd</b> (CUSIPs: 26152H103 / 26152H301 / 266597301)	<b>DRDG</b>	<b>Shiseido Co Ltd</b> (CUSIP: 824841407)	<b>SHIS</b>
<b>Dresdner Bank AG</b> (CUSIPs: 261561302 / 261561401)	<b>DRES</b>	<b>Shoprite Holdings Ltd</b> (CUSIP: 82510E209)	<b>SHOP</b>
<b>Ducati Motor Holding Spa</b> (CUSIP: 264066101)	<b>DUCA</b>	<b>Sibanye Gold Ltd</b> (CUSIPs: 03840M109 / 825724206)	<b>SIBA</b>
<b>Eletropaulo Metropolitana Elet</b> (CUSIP: 286203302)	<b>ELET</b>	<b>Signet Jewelers Ltd</b> (CUSIP: 82668L872)	<b>SIGN</b>
<b>Elf Aquitaine SA</b> (CUSIP: 286269105)	<b>ELFA</b>	<b>Sims Metal Management Ltd</b> (CUSIP: 829160100)	<b>SIMS</b>
<b>Embotelladora Andina SA</b> (CUSIPs: 29081P204 / 29081P303)	<b>EMBO</b>	<b>Six Continents Ltd</b> (CUSIP: 830018107)	<b>SIXC</b>
<b>Embratel Participacoes SA</b> (CUSIPs: 29081N100 / 29081N209)	<b>EMBR</b>	<b>Sky Plc</b> (CUSIP: 111013108)	<b>SKYP</b>
<b>Empresas Ica Sab de CV</b> (CUSIP: 292448107)	<b>EMPR</b>	<b>Smithkline Beecham Ltd</b> (CUSIP: 832378301)	<b>SMIT</b>
<b>Engie Brasil Energia SA</b> (CUSIPs: 892360108 / 29286U107 / 892360306)	<b>ENGI</b>	<b>Sociedad Quimica y Minera De C</b> (CUSIP: 833636103)	<b>SOCI</b>
<b>Eni Lasmo Plc</b> (CUSIP: 501730204)	<b>ENIL</b>	<b>Sociedad Quimica y Minera de Chile</b> (CUSIP: 833635105)	<b>SQMC</b>
<b>Eni Spa</b> (CUSIP: 26874R108)	<b>ENSP</b>	<b>Societe Generale SA</b> (CUSIPs: 784320103 / 784320202 / 83364L109)	<b>SOGE</b>
<b>Eniim 10 Perp</b> (CUSIP: 501730303)	<b>ENII</b>	<b>Sodexo SA</b> (CUSIP: 833792104)	<b>SODE</b>
<b>Erste Group Bank AG</b> (CUSIP: 296036304)	<b>ERST</b>	<b>Softbank Group Corp</b> (CUSIP: 471104109)	<b>SOFT</b>
<b>Evrz Highveld Steel &amp; Vanadiu</b> (CUSIP: 30050A301)	<b>EVRA</b>	<b>Southern Electric Plc 144a</b> (CUSIPs: 842809709 / 842809402)	<b>SOUT</b>
<b>Ferguson Plc</b> (CUSIP: 97786P100)	<b>FERG</b>	<b>Spark New Zealand Ltd</b> (CUSIPs: 84652A102 / 879278307 / 879278208)	<b>SPAR</b>
<b>Fibria Celulose SA</b> (CUSIP: 92906P106)	<b>FIBR</b>	<b>Sse Plc</b> (CUSIPs: 810133405 / 810133702 / 81012K309)	<b>SSEP</b>
<b>Fila Holding S.P.A.</b> (CUSIP: 316850106)	<b>FILA</b>	<b>Standard Bank Group Ltd</b> (CUSIP: 853118206)	<b>STAN</b>
<b>Fomento Economico Mexicano Sab</b> (CUSIP: 344419106)	<b>FOME</b>	<b>Statoil Asa</b> (CUSIP: 85771P102)	<b>STAT</b>
<b>Foster's Group Pty Ltd</b> (CUSIP: 350258307)	<b>FOST</b>	<b>Submarino S.A. - Reg s</b> (CUSIPs: 86431P300 / 86431P508)	<b>SUBM</b>



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<b>Fresenius Medical Care AG &amp; Co</b> (CUSIPs: 358029106 / 358029205)	<b>FRES</b>	<b>Sumitomo Mitsui Financial Group</b> (CUSIP: 865622104)	<b>SUMI</b>
<b>Gallaher Group Ltd</b> (CUSIP: 363595109)	<b>GALA</b>	<b>Suncorp Group Ltd</b> (CUSIP: 867232100)	<b>SUNC</b>
<b>Gates Worldwide Ltd</b> (CUSIP: 890030208)	<b>GATE</b>	<b>Surgutneftegas Ojsc</b> (CUSIPs: 46625F104 / 868861204 / 868861105)	<b>SURG</b>
<b>Gazprom Neft Pjsc</b> (CUSIP: 36829G107)	<b>GAZP</b>	<b>Svenska Cellulosa Ab Sca</b> (CUSIP: 869587402)	<b>SVEN</b>
<b>Gazprom Pjsc</b> (CUSIPs: 47973C305 / 753317304 / 753317205 / 753317106)	<b>GAPP</b>	<b>Swedish Match Ab</b> (CUSIP: 870309507)	<b>SWED</b>
<b>Genesys</b> (CUSIP: 37185M209)	<b>GENE</b>	<b>Swire Pacific Ltd</b> (CUSIPs: 870794302 / 870794401 / 870797404)	<b>SWIR</b>
<b>Gerdau SA</b> (CUSIP: 373737105)	<b>GERD</b>	<b>Swisscom AG</b> (CUSIP: 871013108)	<b>SWIS</b>
<b>Getlink SE</b> (CUSIP: 39944Q109)	<b>GETL</b>	<b>Syngenta AG</b> (CUSIP: 87160A100)	<b>SYNG</b>
<b>Glaxosmithkline Plc</b> (CUSIP: 37733W105)	<b>GLAX</b>	<b>Tabcorp Holdings Ltd</b> (CUSIP: 873306203)	<b>TABC</b>
<b>Gol Linhas Aereas Inteligent</b> (CUSIP: 38045R107)	<b>GOLL</b>	<b>Tata Communications Ltd</b> (CUSIPs: 876564105 / 92659G402 / 92659G600 / 92659G303)	<b>TATA</b>
<b>Gold Fields Ltd</b> (CUSIPs: 262026503 / 38059R100 / 38059T106 / 380596205 / 957654304)	<b>GOLD</b>	<b>Tate &amp; Lyle Plc</b> (CUSIP: 876570607)	<b>TATE</b>
<b>Grupo Aeroportuario del Centro</b> (CUSIP: 400501102)	<b>GRUP</b>	<b>Tatneft Pjsc</b> (CUSIPs: 03737P207 / 03737P108 / 65486P100 / 876629205)	<b>TATN</b>
<b>Grupo Aeroportuario del Pacifi</b> (CUSIP: 400506101)	<b>GADP</b>	<b>TDC A/S</b> (CUSIP: 87236N102)	<b>TDCA</b>
<b>Grupo Aeroportuario del Surest</b> (CUSIP: 40051E202)	<b>GADS</b>	<b>Tele Celular Sul Part S.A.</b> (CUSIP: 879238103)	<b>TELC</b>
<b>Grupo Casa Saba Sab de CV</b> (CUSIP: 40048P104)	<b>GCSS</b>	<b>Tele Centro Oeste Celular Part</b> (CUSIP: 87923P105)	<b>TECE</b>
<b>Grupo Elektra, S.A. De C.V.</b> (CUSIP: 40050A102)	<b>GREL</b>	<b>Tele Nordeste Celular Particip</b> (CUSIP: 87924W109)	<b>TELN</b>
<b>Grupo Financiero Banorte Sab D</b> (CUSIPs: 400486106 / 059456400 / 059456509 / 40051M105 / 40052P107 / 400486304 / 40051M204)	<b>GRFI</b>	<b>Tele Norte Leste Participacoes</b> (CUSIPs: 87924Y105 / 879246106)	<b>TNLP</b>
<b>Grupo Mex Desarrollo</b> (CUSIPs: 40048G104 / 40048G203)	<b>GRMD</b>	<b>Tele Sudeste Celular Participa</b> (CUSIPs: 87943B102 / 879252104)	<b>TELS</b>
<b>Grupo Televisa SAB</b> (CUSIP: 40049J206)	<b>GRTS</b>	<b>Tele2 AB</b> (CUSIPs: 87952P109 / 87952P208)	<b>TELE</b>
<b>Hannover Rueck SE</b> (CUSIP: 410693105)	<b>HANN</b>	<b>Telecomunicacoes Brasileiras S</b> (CUSIP: 879287209)	<b>TECB</b>
<b>Harmony Gold Mining Co Ltd</b> (CUSIP: 413216300)	<b>HAGO</b>	<b>Telekom Austria AG</b> (CUSIP: 87943Q109)	<b>TELA</b>
<b>Hbos Plc</b> (CUSIP: 42205M106)	<b>HBOS</b>	<b>Telekomunikasi Indonesia Perse</b> (CUSIP: 715684106)	<b>TELI</b>
<b>Hellenic Telecommunications OR</b> (CUSIP: 423325307)	<b>HETE</b>	<b>Telemig Celular Participacoes</b> (CUSIP: 87944E105)	<b>TECP</b>
<b>Henkel AG &amp; Co KGAA</b> (CUSIP: 42550U109 / 42550U208)	<b>HENK</b>	<b>Telesp Participacoes S.A.</b> (CUSIPs: 87952L108 / 87952K100)	<b>TESP</b>



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Hillsdown Holdings Plc (CUSIP: 432586204)	HILL	Telkom SA Soc Ltd (CUSIP: 879603108)	TELK
HMS Hydraulic Machines & Syste (CUSIP: 40425X100)	HMSH	Telstra Corp Ltd (CUSIPs: 87969N204 / 87969N303 / 87969N105)	TEST
Hoechst Gmbh (CUSIP: 434390308)	HOEC	Ternium Mexico SA De Cv (CUSIP: 880890108)	TERN
Hot Telecommunication System I (CUSIP: 576561104)	HOTT	Tesco Plc (CUSIPs: 881575302 / 098561202)	TESC
Hydromet Corp Ltd (CUSIP: 449003102)	HYDR	Teva Pharmaceutical Industries (CUSIPs: 881624209 / 16361E108 / 50540H104)	TEVA
Igate Computer Systems Ltd (CUSIP: 703248203)	IGAT	Tiger Brands Ltd (CUSIPs: 88673M102 / 88673M201 / 886911106)	TIGR
Imperial Holdings Ltd (CUSIPs: 452833106 / 452833205)	IMPE	TMK Pjsc (CUSIP: 87260R300)	TMKP
Incitec Pivot Ltd (CUSIP: 45326Y206)	INCI	Total SA (CUSIPs: 89151E109 / 716485206)	TOTA
Indosat Tbk Pt (CUSIP: 744383100)	INDO	Transcom Worldwide SA (CUSIPs: 893234104 / 893545103 / 893545202 / 894116102)	TRAN
Indusind Bank Ltd (CUSIP: 45579Q108)	INDU	Trend Micro Inc/Japan (CUSIP: 89486M206)	TREN
Industrias Bachoco Sab de CV (CUSIP: 456463108)	INDB	Turkiye Garanti Bankasi AS (CUSIPs: 900148305 / 900148701 / 900151101)	TURK
Industrie Natuzzi S.P.A. (CUSIP: 456478106)	INDU	Tv Azteca Sab De Cv (CUSIP: 901145102)	TVAZ
Informa Plc (CUSIPs: 093529204 / 45672B206 / 45672B305 / 90265U203 / 90969M101)	INFO	UBS AG (CUSIP: 90261R105)	UBSA
Intercontinental Hotels Group (CUSIPs: 45857P103 / 458573102 / 458573201)	INTE	Ultrapar Participacoes SA (CUSIP: 90400P101)	ULTR
International Power Ltd (CUSIP: 46018M104)	INPO	Unibail-Rodamco SE (CUSIP: 960224103)	UNIB
Intesa Sanpaolo Spa (CUSIPs: 05944F104 / 46115H107)	INTS	Unified Energy System Oao (CUSIPs: 904688108 / 904688405)	UNIF
Invensys Ltd (CUSIP: 461204109)	INVE	Union Andina de Cementos SAA (CUSIP: 904845104)	UNIO
Inversiones Aguas Metropolitan (CUSIP: 46128Q201)	INAM	United Overseas Bank Ltd (CUSIPs: 911271302/ 910903301)	UNIT
Itau Unibanco Holding SA (CUSIPs: 059602102 / 465562106 / 059602201 / 90458E107)	ITAU	Usinas Siderurgicas de Minas G (CUSIP: 917302408)	USIN
J Sainsbury Plc (CUSIP: 466249208)	SAIN	Van Der Moolen Holding Nv (CUSIP: 921020103)	VAND
Johnson Matthey Plc (CUSIPs: 479142309 / 479142408 / 479142507)	JOHN	Veolia Environnement SA (CUSIP: 92334N103)	VEOL
Julius Baer Group Ltd (CUSIP: 481369106)	JULI	Vimpel-Communications Pjsc (CUSIPs: 92719A106 / 92719A304)	VIMP
Kidde Plc (CUSIP: 493793103)	KIDD	Vina Concha y Toro SA (CUSIP: 927191106)	VINA



ADR/CUSIPs	Code (To be entered in PART III above)	ADR/CUSIPs	Code (To be entered in PART III above)
<b>Kingfisher Plc</b> (CUSIPs: 495724403 / 495724205 / 495724304)	<b>KING</b>	<b>Vivendi SA</b> (CUSIPs: 137041208 / 204390108 / 419312202 / 92851S105 / 92851S204)	<b>VIVE</b>
<b>Kingsgate Consolidated Ltd</b> (CUSIP: 496362104)	<b>KIGA</b>	<b>Vodafone Airtouch Plc</b> (CUSIP: 92857T107)	<b>VODA</b>
<b>Klabin SA</b> (CUSIPs: 45647P108 / 49834M100)	<b>KLAB</b>	<b>Vodafone Group Plc</b> (CUSIPs: 92857W308 / 698113107 / 87926R108 / 92857W209 / 92857W100 / 92858M101)	<b>VODG</b>
<b>Komatsu Ltd</b> (CUSIP: 500458401)	<b>KOMA</b>	<b>Wacoal Holdings Corp</b> (CUSIP: 930004205)	<b>WACO</b>
<b>Komercni Banka AS</b> (CUSIP: 500459409)	<b>KOME</b>	<b>Wal-mart de Mexico Sab De Cv</b> (CUSIP: 93114W107)	<b>WALM</b>
<b>Koninklijke Ahold N.V.</b> (CUSIPs: 500467303 / 500467402 / 500467AA3)	<b>KONI</b>	<b>Wavecom SA</b> (CUSIP: 943531103)	<b>WAVE</b>
<b>Koor Industries Ltd</b> (CUSIP: 500507108)	<b>KOOR</b>	<b>Westpac Banking Corporation</b> (CUSIPs: 789547106 / 961214301)	<b>WEST</b>
<b>Kroton Educacional SA</b> (CUSIP: 50106A402)	<b>KROT</b>	<b>Wind Hellas Telecommunications</b> (CUSIPs: 859823106 / 88706Q104)	<b>WIND</b>
<b>Kumba Iron Ore Ltd</b> (CUSIP: 50125N104)	<b>KUMB</b>	<b>WMC Limited</b> (CUSIPs: 928947100 / 92928R106)	<b>WMCL</b>
<b>Ladbroke Group Inc</b> (CUSIPs: 505727305 / 505730101)	<b>LADB</b>	<b>Woodside Petroleum Ltd</b> (CUSIP: 980228308)	<b>WOOD</b>
<b>Lagardere Sca</b> (CUSIP: 507069102)	<b>LAGA</b>	<b>Woolworths Holdings Ltd/South</b> (CUSIPs: 480209402 / 98088R109 / 98088R505)	<b>WOOL</b>
<b>Lan airlines S.A.</b> (CUSIP: 501723100)	<b>LANA</b>	<b>Zurich Insurance Group AG</b> (CUSIPs: 01959Q101 / 98982M107 / 989825104)	<b>ZURI</b>



## PART IV - RELEASE OF CLAIMS AND SIGNATURE

### YOU MUST READ THE RELEASE AND CERTIFICATION BELOW AND SIGN ON PAGE 8 OF THIS CLAIM FORM.

I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) and my (our) respective heirs, executors, administrators, predecessors, successors, and assigns in their capacities as such, shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally and forever compromised, settled, released, resolved, relinquished, waived and discharged each and every Released Claim against any of the Releasees, and shall forever be barred and enjoined from prosecuting any or all of the Released Claims against any of the Releasees.

### CERTIFICATION

By signing and submitting this Claim Form, the Claimant(s) or the person(s) who represent(s) the Claimant(s) certifies (certify), as follows:

1. that I (we) have read and understand the contents of the Notice and this Claim Form, including the Releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the Claimant(s) is a (are) Settlement Class Member(s), as defined in the Notice, and is (are) not excluded by definition from the Settlement Class as set forth in the Notice;
3. that the Claimant has not submitted a request for exclusion from the Settlement Class;
4. that I (we) received the cash distributions identified in the Claim Form and have not assigned the claim against the Defendant or any of the other Releasees to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
5. that the Claimant(s) has (have) not submitted any other claim covering the same cash distributions identified in the Claim Form and knows (know) of no other person having done so on the Claimant's (Claimants') behalf;
6. that the Claimant(s) submit(s) to the jurisdiction of the Court with respect to Claimant's (Claimants') claim and for purposes of enforcing the Releases set forth herein;
7. that I (we) agree to furnish such additional information with respect to this Claim Form as Lead Plaintiffs' Counsel, the Claims Administrator or the Court may require;
8. that the Claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the Court's summary disposition of the determination of the validity or amount of the claim made by this Claim Form;
9. that I (we) acknowledge that the Claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the Claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (a) the Claimant(s) is (are) exempt from backup withholding or (b) the Claimant(s) has (have) not been notified by the IRS that he/she/it is subject to backup withholding as a result of a failure to report all interest or dividends or (c) the IRS has notified the Claimant(s) that he/she/it is no longer subject to backup withholding. **If the IRS has notified the Claimant(s) that he/she/it is subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**



UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HEREWITH ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

\_\_\_\_\_  
Signature of Claimant

\_\_\_\_\_  
Date (mm/dd/yyyy)

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature of Joint Claimant, if any

\_\_\_\_\_  
Date (mm/dd/yyyy)

\_\_\_\_\_  
Print Name

***If the Claimant is other than an individual, or is not the person completing this form, the following also must be provided:***

\_\_\_\_\_  
Signature of person signing on behalf of Claimant

\_\_\_\_\_  
Date (mm/dd/yyyy)

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Capacity of person signing on behalf of Claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of Claimant – see paragraph 9 on page \_ of this Claim Form.)

#### **REMINDER CHECKLIST**

1. Please sign the above Release and certification. If this Claim Form is being made on behalf of joint Claimants, then both must sign.
2. Remember to attach only **copies** of acceptable supporting documentation as these documents will not be returned to you.
3. Please do not highlight any portion of the Claim Form or any supporting documents.
4. Keep copies of the completed Claim Form and documentation for your own records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail within 60 days. Your claim is not deemed filed until you receive an acknowledgement postcard. **IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, PLEASE CALL THE CLAIMS ADMINISTRATOR TOLL FREE AT 1-866-447-6210.**
6. If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, please send the Claims Administrator written notification of your new address. If you change your name, please inform the Claims Administrator.
7. If you have any questions or concerns regarding your claim, please contact the Claims Administrator at the above address or toll-free at 1-866-447-6210, or visit [www.bnymadrfxsettlement.com](http://www.bnymadrfxsettlement.com). Please DO NOT call BNYM or its counsel with questions regarding your claim.

THIS CLAIM FORM MUST BE MAILED TO THE CLAIMS ADMINISTRATOR BY PREPAID, FIRST-CLASS MAIL, OR SUBMITTED ONLINE AT [WWW.BNYMADRFXSETTLEMENT.COM](http://WWW.BNYMADRFXSETTLEMENT.COM), **POSTMARKED (OR RECEIVED) NO LATER THAN August 15, 2019**. IF MAILED, THE CLAIM FORM SHOULD BE ADDRESSED AS FOLLOWS:

*Bank of New York Mellon ADR FX Settlement*  
c/o KCC Class Action Services  
P.O. Box 505030  
Louisville, KY 40233-5030

If mailed, a Claim Form received by the Claims Administrator shall be deemed to have been submitted when posted, if a postmark date on or before August 15, 2019 is indicated on the envelope and it is mailed First Class, and addressed in accordance with the above instructions. In all other cases, a Claim Form shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it will take a significant amount of time to fully process all of the Claim Forms. Please be patient and notify the Claims Administrator of any change of address.



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