

Tear along perforation at left and mail all pages of this form with supporting documentation

**MUST BE POSTMARKED  
NO LATER THAN  
JULY 13, 2013**

UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
WESTERN DIVISION  
*A-Power Securities Litigation*  
Case No. 2:11-ml-2302-GW-(CWx)

**FOR OFFICIAL USE ONLY**

**PROOF OF CLAIM AND RELEASE**

USE BLUE OR BLACK INK ONLY – PLEASE PRINT

**PART I: CLAIMANT IDENTIFICATION**

Name (Beneficial Owner)

If this account is an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA account, please include "IRA" in the "Beneficial Owner" box above (e.g., John Doe).

Joint Beneficial Owner, if applicable

Name of Representative, if applicable (Record Owner, Custodian, Executor, Administrator, Trustee, C/O, etc.)

Address Line 1 (Number and Street or P.O. Box)

Address Line 2 (if needed)

City  State  Zip Code

**OR**

Foreign Province and Postal Code  Foreign Country

Telephone Number (Day)  Telephone Number (Evening)

E-mail Address  Account Number

Beneficial Owner's Social Security No. (for individuals)  **OR** Taxpayer Identification No.

Specify one of the following:

Individual(s)  Corporation  UGMA Custodian  IRA  Joint Owners  Estate  Trust

Other:

**PART II: SCHEDULE OF TRANSACTIONS IN A-POWER SECURITIES**

**A-POWER SECURITIES— CUSIP NO. G04136100 – Ticker Symbol APWR**  
 YOU MUST SUBMIT DOCUMENTATION SUPPORTING ALL OF THE INFORMATION BELOW.

**BEGINNING HOLDINGS**

1. Number of shares of A-Power securities held at the open of trading on **March 17, 2008**:   
 (If none, write "zero" or "0"; if other than zero, must be documented) ..... NUMBER OF SHARES

**PURCHASES**

2. Separately list each and every **purchase and acquisition** of A-Power securities during the period between **March 17, 2008 and December 23, 2011**, inclusive, and provide the following information (*must be documented*):

Trade Date of Purchase (List Chronologically) Month/Day/Year	Number of Shares Purchased or Acquired	Purchase Price Per Share	Total Amount Paid (excl. Commissions, Taxes & Fees)	Check Box if result of an Option Exercised	Check Box if Cover of a Short Sale
<input style="width: 100%; height: 20px; border: 1px solid red;" type="text"/>	<input style="width: 100%; height: 20px; border: 1px solid red;" type="text"/>	<input style="width: 100%; height: 20px; border: 1px solid red;" type="text"/>	<input style="width: 100%; height: 20px; border: 1px solid red;" type="text"/>	<input style="width: 20px; height: 20px; border: 1px solid red;" type="checkbox"/>	<input style="width: 20px; height: 20px; border: 1px solid red;" type="checkbox"/>
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**SALES**

3. Separately list each and every **sale** of A-Power securities during the period between **March 17, 2008 and December 23, 2011**, inclusive and provide the following information (*must be documented*):

Trade Date of Sale (List Chronologically) Month/Day/Year	Number of Shares Sold	Sale Price Per Share	Total Amount Received (excl. Commissions, Taxes & Fees)	Check Box if result of an Option Assigned	Check Box if This is a Short Sale
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**ENDING HOLDINGS**

4. State the total number of shares of A-Power securities owned at the close of trading on **December 23, 2011**. (If none, write "zero" or "0"; if other than zero, must be documented) ..... NUMBER OF SHARES

If you require additional space, attach extra schedules in the same format as above. Copies of broker confirmations or other documentation evidencing your transactions in A-Power securities should be attached.

**PART III: SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS**

1. I (We) submit this Proof of Claim and Release under the terms of the Stipulation and Agreement of Settlement described in the Notice. I (We) also submit to the jurisdiction of the United States District Court for the Central District of California with respect to my (our) claim as a Class Member and for purposes of enforcing the release set forth herein and any judgment which may be entered in the Action. I (We) further acknowledge that I (we) am (are) bound by and subject to the terms of any judgment that may be entered in the Action.
2. I (We) agree to furnish additional information to the Claims Administrator to support this claim if required to do so.

**PART IV: RELEASE**

Upon the occurrence of the Court's approval of the Settlement and its Effective Date, as detailed in the Notice, I (we) agree and acknowledge that I (we) am (are) bound by the terms of any judgment in the Litigation, and my (our) signature(s) on this document will effect and constitute a full and complete release and discharge by me (us) and my (our) current and former heirs, executors, administrators, successors, attorneys, legal representatives, and assigns of each of the "Released Persons" of all "Released Claims," as defined in the Notice. If I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one or more other persons, this release is made by it, him, her or them, and by its, his, her or their current and former heirs, executors, administrators, successors, attorneys, legal representatives, and assigns.

**PART V: SUBSTITUTE FORM W-9**

**Request for Taxpayer Identification Number:**

Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.

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Social Security Number  
(for individuals)

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Taxpayer Identification Number  
(for estates, trusts, corporations)

