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Lead Counsel for Lead Plaintiff A-Power Investor Group

UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
WESTERN DIVISION

IN RE A-POWER ENERGY GENERATION SYSTEMS, LTD. SECURITIES LITIGATION
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Master Docket No. 2:11-ml-2302-GW-(CWx)

This Document Relates To: ALL ACTIONS
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NOTICE OF PENDENCY AND SETTLEMENT OF CLASS ACTION

If you purchased or otherwise acquired the securities of A-Power Energy Generation Systems, Ltd. (“A-Power”) during the period from March 17, 2008 through and including June 27, 2011, you could get a payment from a class action settlement (the “Settlement”). *Under law, a federal court has authorized this notice.*

- If approved by the Court, the settlement will provide \$3,675,000, plus interest (the “Settlement Amount”), to pay claims of investors who purchased or otherwise acquired A-Power securities during the period from March 17, 2008 through and including June 27, 2011 (the “Class Period”).
- The Settlement represents an estimated average recovery of \$0.09 per share of A-Power common stock estimated as purchased and damaged during the Class Period, or, as described below, \$0.07 per share if the Court approves counsel for Lead Plaintiff’s request for attorneys’ fees, reimbursement of litigation expenses, and a Lead Plaintiff award. A share may have been traded more than once during the Class Period. This estimate solely reflects the average recovery per share of A-Power stock estimated as purchased and damaged during the Class Period. The indicated average recovery per share will be the total average recovery for all purchasers of that share. This is not an estimate of the actual recovery per share you should expect. Your actual recovery will depend on the aggregate losses of all Class Members, the date(s) you purchased and sold A-Power securities, and the total number and amount of claims filed.
- Attorneys for the Lead Plaintiff (“Class Counsel”) intend to ask the Court to award them fees of \$808,500, or twenty-two percent (22%), of the Settlement Amount, reimbursement of litigation expenses of no more than \$125,000, and an award totaling \$7,500 to the members of the Lead Plaintiff A-Power Investor Group. Collectively, the attorneys’ fees and expenses are estimated to average \$0.02 per share for the 41.1 million shares of A-Power stock estimated as purchased and damaged during the Class Period. If approved by the Court, these amounts will be paid from the Settlement Fund.
- The approximate recovery, after deduction of attorneys’ fees and expenses approved by the Court, is an average of \$0.07 per share of A-Power stock estimated as purchased and damaged during the Class Period. This estimate is based on the assumptions set forth in the preceding paragraph. Your actual recovery, if any, will vary depending on your purchase price and sales price, and the number and amount of claims filed.

- The Settlement resolves all claims in the lawsuit against A-Power, certain of its officers and directors, and A-Power’s former auditor, MSCM LLP (“MSCM”) for allegedly making false and misleading statements in violation of federal securities laws. Plaintiffs allege that Defendants failed to disclose, among other things: (1) that A-Power issued financial statements for the years ending December 31, 2008 and 2009 that allegedly significantly overstated its actual revenues and total assets, and understated total liabilities, in violation of United States Generally Accepted Accounting Principles (“GAAP”), and (2) the Company failed to disclose the alleged related party nature of certain significant transactions, and failed to disclose alleged additional related parties in violation of GAAP. Defendants A-Power Energy Generation Systems, Ltd., Dilip R. Limaye, Robert B. Leckie, Remo Richli, and MSCM (collectively, the “Settling Defendants”) deny all allegations of misconduct.
- Your legal rights will be affected, unless you request exclusion in accordance with the instructions herein. If you do not act, you may permanently forfeit your right to recover on this claim. Therefore, you should read this notice carefully.

YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT

SUBMIT A CLAIM FORM NO LATER THAN JULY 13, 2013	The only way to get a payment.
EXCLUDE YOURSELF NO LATER THAN AUGUST 1, 2013	Get no payment. This is the only option that allows you to be part of any other lawsuit against the Defendants about the legal claims in this case.
OBJECT NO LATER THAN AUGUST 1, 2013	Write to the Court about why you do not like the Settlement.
GO TO A HEARING ON AUGUST 22, 2013	Speak in Court about the fairness of the Settlement.
DO NOTHING	Get no payment. Give up rights.

INQUIRIES

Please do not contact the Court regarding this notice. All inquiries concerning this notice, the Proof of Claim form, or any other questions by Class Members should be directed to:

A-Power Securities Litigation
c/o KCC Class Action Services
P.O. Box 43138
Providence, RI 02940-3138
<http://classaction.kccllc.net/APowerLitigation>

or

Joy A. Kruse
Lieff, Cabraser, Heimann & Bernstein, LLP
275 Battery Street, 29th Floor
San Francisco, CA 94111
Telephone: (415) 956-1000
Facsimile: (415) 956-1008

COMMON QUESTIONS AND ANSWERS CONCERNING THE SETTLEMENT

1. **Why did I get this Notice?**

You or someone in your family may have acquired A-Power securities (CUSIP No. G04136100) during the Class Period.

2. **What is this lawsuit about?**

The case is In Re A-Power Energy Generation Systems, Ltd. Securities Litigation, Master Docket No. 2:11-ml-2302-GW-(CWx), and the Court in charge of the case is the United States District Court for the Central District of California.

The Class Action involves whether the A-Power, certain of its officers and directors, and MSCM violated the federal securities laws by allegedly making false and misleading statements to the investing public. Plaintiffs allege that Defendants failed to disclose, among other things: (1) that A-Power issued financial statements for the years ending December 31, 2008 and 2009 that allegedly significantly overstated its actual revenues and total assets, and understated total liabilities, in violation of GAAP, and (2) the Company failed to disclose the alleged related party nature of certain significant transactions, and failed to disclose alleged additional related parties in violation of GAAP. Defendants deny they did anything wrong. The Settlement resolves all of the claims in the Class Action against Defendants.

3. **Why is this a class action?**

In a class action, one or more persons and/or entities, called Lead Plaintiff, sue on behalf of all persons and/or entities who have similar claims. All of these persons and/or entities are referred to collectively as a Class, and these individual persons and/or entities are known as Class Members. One court resolves all of the issues for all Class Members, except for those Class Members who exclude themselves from the Class.

4. **Why is there a Settlement?**

Lead Plaintiff and Defendants do not agree regarding the merits of Lead Plaintiff's allegations with respect to liability or the average amount of damages per share that would be recoverable if Lead Plaintiff were to prevail at trial on each claim. The issues on which the Lead Plaintiff and Defendants disagree include: (1) whether Defendants made false and misleading statements; (2) whether Defendants made these statements with the intent to defraud the investing public; (3) whether the statements were the cause of the Class Members' alleged damages; (4) the amount of damages, if any, suffered by the Class Members; and (5) whether the individual Defendants were control persons under the federal securities laws.

This matter has not gone to trial and the Court has not decided in favor of either Lead Plaintiff or Defendants. Instead, Lead Plaintiff and the Settling Defendants have agreed to settle the Class Action. The Lead Plaintiff and Class Counsel believe the settlement is best for all Class Members because of the risks associated with continued litigation and the nature of the defenses raised by Defendants. Even if Plaintiffs win at trial, and also win any appeal of a favorable trial verdict, Plaintiffs might not be able to collect some, or all, of the judgment.

5. **How do I know if I am part of the Class settlement?**

To be a Class Member, you must have purchased or otherwise acquired A-Power securities during the period between March 17, 2008 and June 27, 2011, inclusive.

6. **Are there exceptions to being included?**

Yes. Excluded from the Class are Defendants, all officers and directors of A-Power during or after the Class Period, the members of such excluded persons' immediate families, legal representatives, heirs, predecessors, successors, and assigns, any corporation, trust, or other entity in which any excluded person has or had a controlling interest, and any persons who have separately filed actions against one or more of the Defendants, based in whole or in part on any claim arising out of or relating to any of the alleged acts, omissions, misrepresentations, facts, events, matters, transactions, or occurrences referred to in the Litigation or otherwise alleged, asserted, or contended in the Litigation. Also, if you exclude yourself from the Class, as described below, you are not a part of the Class.

7. What does the Settlement provide?

a. What is the Settlement Fund?

The proposed Settlement calls for the Settling Defendants to create a Settlement Fund (the “Settlement Fund”) in the amount of \$3,675,000. The Settlement is subject to Court approval. Also, subject to the Court’s approval, a portion of the Settlement Fund will be used to pay Lead Plaintiff’s attorneys’ fees and reasonable litigation expenses and an award to Lead Plaintiffs. A portion of the Settlement Fund also will be used to pay taxes due on interest earned by the Settlement Fund, if necessary, and any notice and claims administration expenses permitted by the Court. After the foregoing deductions from the Settlement Fund have been made, the amount remaining (the “Net Settlement Fund”) will be distributed to Class Members who submit valid claims.

b. What can you expect to receive under the proposed Settlement?

Your share of the Net Settlement Fund will or may depend on: (i) the number of claims filed; (ii) the dates you purchased and sold A-Power securities; (iii) the prices of your purchases and sales; (iv) the amount of administrative costs, including the costs of notice; and (v) the amount awarded by the Court to Lead Plaintiff and Class Counsel for attorneys’ fees, costs, and expenses and any award to the Lead Plaintiff.

The compensable loss per share (“Recognized Loss”) of each Authorized Claimant shall be calculated according to the following formula:

- A. For shares of common stock purchased or otherwise acquired between March 17, 2008 and June 27, 2011, the Recognized Loss shall be that number of shares multiplied by the lesser of:
 - (1) the applicable artificial inflation per share figure on the purchase date, as found in Table A; or
 - (2) the difference between the purchase price per share and \$0.41.¹
- B. For shares sold between March 17, 2008 and June 27, 2011, the Recognized Loss shall be that number of shares multiplied by the lesser of:
 - (1) the applicable purchase artificial inflation per share figure less the applicable sales date artificial inflation per share figure, as found in Table A; or
 - (2) the difference between the purchase price per share and the sales price.
- C. For shares sold between June 28, 2011 and December 23, 2011, the Recognized Loss shall be the lesser of:
 - (1) the applicable purchase date artificial inflation per share figure, as found in Table A; or
 - (2) the difference between the purchase price per share and the average closing price of A-Power common stock between June 28, 2011 and the date of sale.²

Table A

<u>Purchase or Sale Date Range</u>	<u>Artificial Inflation Per Share</u>
03/17/2008 – 03/25/2011	\$2.12
03/28/2011 – 06/16/2011	\$1.74
06/17/2011 – 06/27/2011	\$1.37

¹ Pursuant to Section 21(D)(e)(1) of the Private Securities Litigation Reform Act of 1995, “in any private action arising under this title in which the plaintiff seeks to establish damages by reference to the market price of a security, the award of damages to the plaintiff shall not exceed the difference between the purchase or sale price paid or received, as appropriate, by the plaintiff for the subject security and the mean trading price of that security during the 90-day period beginning on the date on which the information correcting the misstatement or omission that is the basis for the action is disseminated.” \$0.41 was the mean closing price of A-Power common stock during the 90-day period beginning on September 26, 2011 (the day the stock resumed trading after being halted on June 27, 2011) and ending on December 23, 2011.

² Pursuant to Section 21(D)(e)(2) of the Private Securities Litigation Reform Act of 1995, “in any private action arising under this title in which the plaintiff seeks to establish damages by reference to the market price of a security, if the plaintiff sells or repurchases the subject security prior to the expiration of the 90-day period described in paragraph (1), the plaintiff’s damages shall not exceed the difference between the purchase or sale price paid or received, as appropriate, by the plaintiff for the security and the mean trading price of the security during the period beginning immediately after dissemination of information correcting the misstatement or omission and ending on the date on which the plaintiff sells or repurchases the security.”

c. Are there any further limitations on the amount I may receive?

- i. To the extent there are sufficient funds in the Net Settlement Fund, each Class Member with a Recognized Loss that satisfies the requirements approved by the Court (“Authorized Claimant”) will receive an amount equal to the Authorized Claimant’s Recognized Loss described above. If, however, the amount in the Net Settlement Fund is not sufficient to permit payment of the total Recognized Loss of each Authorized Claimant, then each Authorized Claimant shall be paid the percentage of the Net Settlement Fund that each Authorized Claimant’s Recognized Loss bears to the total of the Recognized Losses of all Authorized Claimants.
- ii. For Class Members who conducted multiple transactions in A-Power securities during the Class Period, the earliest subsequent sale shall be matched first against those shares in the Claimant’s opening position on the first day of the Class Period, and then matched chronologically thereafter against each purchase made during the Class Period.
- iii. Transactions during the Class Period resulting in a gain shall be netted against the Class Member’s transactions resulting in a loss to arrive at the Recognized Loss.
- iv. Any Class Members whose collective transactions in A-Power securities during the Class Period resulted in a net gain shall not be entitled to share in the Net Settlement Fund.
- v. The purchase and sales prices exclude any brokerage commissions, transfer taxes, or other fees.
- vi. The covering purchase of a short sale is not an eligible purchase.
- vii. Gifts and transfers are not eligible purchases.

8. How can I get a payment?

To qualify for a payment, you must submit a form entitled “Proof of Claim and Release” form. This Proof of Claim and Release is attached to this notice. You may also obtain a claim form on the Internet at <http://classaction.kccllc.net/APowerLitigation>. Read the instructions carefully, fill out the form, sign it, and mail the claim form together with all documentation requested in the form, postmarked no later than July 13, 2013, to:

A-Power Securities Litigation
c/o KCC Class Action Services
P.O. Box 43138
Providence, RI 02940-3138

Brokers, banks, and other institutions may file their claims electronically, according to the instructions on the website <http://classaction.kccllc.net/APowerLitigation>.

The Claims Administrator will process your claim and determine whether you are an “Authorized Claimant.”

9. What am I giving up to get a payment or stay in the Class?

Unless you exclude yourself, you will remain in the Class. That means that if the Settlement is approved, you and all Class Members will release (agreeing never to sue, continue to sue, or be part of any other lawsuit) all claims against Defendants, any of their current, former, or future parents, subsidiaries, affiliates, partners, joint venturers, officers, directors, principals, shareholders, members, agents (acting in their capacity as agents), employees, attorneys, insurers, reinsurers, advisors, associates, and/or any other individual or entity in which any Defendant has a controlling interest or which is related to or affiliated with any of the Defendants, and the current, former, and future legal representatives, heirs, successors in interest, or assigns of Defendants (“Released Parties”) in connection with your acquisition of A-Power securities during the Class Period, except that you do not release the Released Parties from any claim or action to enforce the Settlement. It also means that all of the Court’s orders will apply to you and legally bind you. If you sign the claim form, you are agreeing to a “Release of Claims,” which will bar you from ever filing a lawsuit against any Released Party to recover losses from the acquisition or sale of A-Power securities during the Class Period, except to enforce the Settlement. That means you will accept a share in the Net Settlement Fund as sole compensation for any losses you have suffered in the acquisition and sale of A-Power securities during the Class Period.

10. How do I get out of the Settlement?

If you do not want to receive a payment from this Settlement, and you want to keep any right you may have to sue or continue to sue Defendants on your own based on the legal claims raised in this Class Action, then you must take steps to get out of the Settlement. This is called excluding yourself from – or “opting out” of – the Settlement. To exclude yourself from the Settlement, you must mail a letter stating you want to be excluded as a Class Member. Please be sure to include your name, address, telephone number and your signature, along with an accurate list of all of your purchases and sales of A-Power securities. You must mail your exclusion request, postmarked no later than August 1, 2013, to:

A-Power Securities Litigation
c/o KCC Class Action Services
P.O. Box 43138, Providence, RI 02940-3138

You cannot exclude yourself by telephone or by e-mail. If you ask to be excluded, you will not receive a settlement payment, and you cannot object to the Settlement.

11. If I do not exclude myself, can I sue Defendants for the same claims later?

No. Unless you exclude yourself, you give up any right to sue Defendants for the claims that this Settlement resolves. If you have a pending lawsuit, speak to your lawyer in that case immediately, since you may have to exclude yourself from this Class to continue your own lawsuit.

12. Do I have a lawyer in this case?

The Court has not certified this action as a class action. Until the Court certifies this action as a class action, you do not have a lawyer unless you retain one.

13. How will the lawyers be paid?

Class Counsel have expended considerable time litigating this action on a contingent fee basis, and have paid for the expenses of the litigation. Class Counsel have done so with the expectation that if they are successful in recovering money for the Class, they will receive attorneys’ fees and be reimbursed for their litigation expenses from the Settlement Fund. Class Counsel will not receive attorneys’ fees or be reimbursed for their litigation expenses except from the Settlement Fund. Therefore, Class Counsel will file a motion asking the Court at the Settlement Hearing to award attorneys’ fees in an amount not to exceed \$808,500, or twenty-two percent (22%) of the Settlement Fund, for reimbursement of reasonable litigation expenses not to exceed \$125,000, and an award totaling \$7,500 to the members of Lead Plaintiff A-Power Investor Group. The Court may award less than these amounts. Any amounts awarded by the Court will come out of the Settlement Fund.

14. How do I tell the Court that I do not like the Settlement?

You can tell the Court you do not agree with the Settlement, any part of the Settlement, or Class Counsel’s motion for attorneys’ fees, and that you think the Court should not approve the Settlement, by mailing a letter stating that you object to the Settlement. Please be sure to include your name, address, telephone number, your signature, a list of your purchases and sales of A-Power securities in order to show your membership in the Class, and the reasons you object to the Settlement. Be sure to mail the objections to the six addressees listed below, postmarked no later than August 1, 2013, so the Court will consider your views:

1. Clerk of the Court
United States District Court
Central District of California -Western Division
312 N. Spring Street
Los Angeles, CA 90012
2. Richard M. Heimann
Joy A. Kruse
Lieff, Cabraser, Heimann & Bernstein, LLP
275 Battery Street, 29th Floor
San Francisco, CA 94111
Telephone: (415) 956-1000
Facsimile: (415) 956-1008
*Lead Counsel for Lead Plaintiff
A-Power Investor Group*
3. Boris Feldman
Cynthia Dy
WILSON SONSINI GOODRICH & ROSATI
650 Page Mill Road
Palo Alto, CA 94304-1050
Telephone: (650) 493-9300
Facsimile: (650) 493-6811
Counsel for A-Power Energy Generation Systems, Ltd.

4. Rebecca Lamberth
DUANE MORRIS LLP
1180 West Peachtree Street NW
Suite 700
Atlanta, GA 30309-3929
Telephone: (404) 253-6961
Facsimile: (404) 393-5179
Counsel for Remo Richli

5. Vincent Paul Schmeltz III
BARNES & THORNBURG LLP
One North Wacker Drive Suite 4400
Chicago, IL 60606-2833
Telephone: (312) 357-1313
Facsimile: (312) 759-5646
Counsel for Robert B. Leckie

6. Efren A. Compeán
GARRETT & TULLY, PC
225 South Lake Avenue, Suite 1400
Pasadena, CA 91101
Telephone: (626) 577-9500
Facsimile: (626) 577-0813
Counsel for MSCM LLP

15. What is the difference between objecting and requesting exclusion?

Objecting is simply informing the Court you do not like something about the Settlement. You can object only if you stay in the Class. Requesting exclusion is informing the Court you do not want to be part of the Class and Settlement. If you exclude yourself, you cannot object to the Settlement because it no longer concerns you. If you stay in the Class and object, but your objection is overruled, you will not be allowed a second opportunity to exclude yourself.

16. When and where will the Court decide whether to approve the Settlement?

The Court will hold a Settlement Hearing on August 22, 2013, at 8:30 a.m. in Courtroom 10, at the United States District Court for the Central District of California, 312 N. Spring Street, Los Angeles, CA 90012. At this hearing, the Court will consider whether the Settlement is fair, reasonable, and adequate and whether to approve the Settlement. If there are objections, the Court will consider them, and the Court will listen to people who have asked to speak at the hearing. The Court may also decide how much to pay Class Counsel for attorneys' fees and expenses.

17. Do I have to come to the hearing?

No. Class Counsel will answer any questions the Court may have. However, you are welcome to attend at your own expense. If you send an objection, you do not have to come to Court to talk about it. As long as you mail your written objection on time, the Court will consider it.

18. What happens if I do nothing at all?

If you do nothing, you will not receive a payment from the Settlement. However, unless you exclude yourself, you will not be able to start a lawsuit, continue with a lawsuit, or be part of any other lawsuit against Defendants about the claims made in this case.

19. Special Notice to Banks, Brokers, and Other Nominees

If you hold or held any A-Power securities during the Class Period as nominee for a beneficial owner, then, within ten (10) calendar days after you receive this notice, you must either: (1) send a copy of this notice and the Proof of Claim and Release by First-Class Mail to all such Persons; or (2) provide a list of the names and addresses of such Persons to the Claims Administrator:

A-Power Securities Litigation
c/o KCC Class Action Services
P.O. Box 6175, Novato, CA 94948-6175
APowerLitigation@kccllc.com

If you choose to mail the notice and Proof of Claim and Release yourself, you may obtain from the Claims Administrator (without cost to you) as many additional copies of these documents as you will need to complete the mailing.

DATED: January 15, 2013.

BY ORDER OF THE UNITED STATES DISTRICT COURT
FOR THE CENTRAL DISTRICT OF CALIFORNIA

UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
WESTERN DIVISION

IN RE A-POWER ENERGY
GENERATION SYSTEMS, LTD.
SECURITIES LITIGATION

Master Docket No. 2:11-ml-2302-GW-(CWx)

**INSTRUCTIONS FOR PROOF OF CLAIM
AND RELEASE**

This Document Relates To:
ALL ACTIONS

INSTRUCTIONS FOR COMPLETING PROOF OF CLAIM AND RELEASE FORM

GENERAL INSTRUCTIONS

1. To recover as a member of the Class based on your claim in the action entitled *In Re A Power Energy Generation Systems, Ltd. Securities Litigation*, Master Docket No. 2:11-ml-2302-GW-(CWx) (the "Litigation"), you must complete the Proof of Claim and Release ("Proof of Claim"). If you fail to submit a Proof of Claim by the submission deadline, your claim may be rejected and you may be precluded from any recovery from the Settlement Fund created in connection with the proposed settlement of the Litigation ("Settlement"), as set forth in the Stipulation of Settlement ("Stipulation") dated December 6, 2012.
2. Submission of the Proof of Claim, however, does not ensure that you will share in the proceeds of the Settlement Fund created in this Litigation.
3. **YOU MUST EITHER COMPLETE AND SUBMIT YOUR PROOF OF CLAIM VIA MAIL POSTMARKED NO LATER THAN JULY 13, 2013, ADDRESSED TO THE CLAIMS ADMINISTRATOR AS FOLLOWS:**

A-Power Securities Litigation
c/o KCC Class Action Services
P.O. Box 43138, Providence, RI 02940-3138

OR

**BROKERS, BANKS, AND OTHER INSTITUTIONS MAY COMPLETE AND SUBMIT THEIR CLAIMS
ELECTRONICALLY ACCORDING TO THE INSTRUCTIONS ON THE FOLLOWING WEBSITE:**

<http://classaction.kccllc.net/APowerLitigation>

4. If you are a member of the Class and you do not timely request exclusion in connection with the proposed Settlement, you are bound by the terms of any judgment entered in the Action, WHETHER OR NOT YOU SUBMIT A PROOF OF CLAIM.

CLAIMANT IDENTIFICATION

1. If you purchased or otherwise acquired A-Power securities (CUSIP No. G04136100) between March 17, 2008 and June 27, 2011, inclusive (the "Class"), and were damaged thereby, and held the securities in your name, you are the beneficial purchaser as well as the record purchaser. If, however, the securities were registered in the name of a third party, such as a nominee or brokerage firm, you are the beneficial purchaser and the third party is the record purchaser.
2. Use "Part I" of the Proof of Claim (enclosed) entitled "Claimant Identification" to identify each owner of record ("nominee"), if different from the beneficial owner of the A-Power securities which forms the basis of this claim. **THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL OWNER(S), OR THE LEGAL REPRESENTATIVE OF SUCH OWNER(S) OF THE A-POWER SECURITIES UPON WHICH THIS CLAIM IS BASED.**
3. All joint purchasers must sign this claim. Executors, administrators, guardians, conservators, and trustees must complete and sign this claim on behalf of persons or entities represented by them and their authority must accompany this claim and their titles or capacities must be stated. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

INSTRUCTIONS FOR SCHEDULE OF TRANSACTIONS

1. In the space provided, supply all required details of your transaction(s) in A-Power securities. If you need more space, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.
2. Please provide all of the requested information with respect to all of your purchases and acquisitions of A-Power securities, regardless of whether such transactions resulted in a profit or a loss. Failure to report all such transactions may result in the rejection of your claim.
3. List each transaction separately and in chronological order by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.
4. Broker confirmations or other documentation of your transactions in A-Power securities should be attached to your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim.
5. The Claims Administrator may request additional information as required to efficiently and reliably calculate your losses. In some cases where the Claims Administrator cannot perform the calculation accurately or at a reasonable cost to the Class with the information provided, the Claims Administrator may condition acceptance of the claim upon the production of additional information and/or the hiring of an accounting expert at the claimant's cost.