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June 28, 2015

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE DIVISION

# CELERA

*In re Celera Corp. Sec. Litig.,*  
No. 5:10-cv-02604-EJD(HRL)

### PROOF OF CLAIM AND RELEASE



Please Type or Print in the Boxes Below  
Do NOT use Red Ink, Pencil, or Staples

#### PART I: CLAIMANT IDENTIFICATION

Last Name

M.I.

First Name

Last Name (Co-Beneficial Owner)

M.I.

First Name (Co-Beneficial Owner)

IRA       Joint Tenancy       Employee       Individual       Other \_\_\_\_\_

Company Name (Beneficial Owner - If Claimant is not an Individual) or Custodian Name if an IRA (specify)

Trustee/Asset Manager/Nominee/Record Owner's Name (If Different from Beneficial Owner Listed Above)

Account#/Fund# (Not Necessary for Individual Filers)

Social Security Number

Taxpayer Identification Number

or

Telephone Number (Primary Daytime)

Telephone Number (Alternate)

Email Address

#### MAILING INFORMATION

Address

Address

City

State

Zip Code

Foreign Province

Foreign Postal Code

Foreign Country Name/Abbreviation

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## PART II. SCHEDULE OF TRANSACTIONS IN CELERA COMMON STOCK<sup>1</sup>

A. Number of shares of Celera common stock held at the close of trading on April 23, 2008: [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] Proof Enclosed?  
 Y  N

B. Purchases or acquisitions of Celera common stock (April 24, 2008 – October 20, 2009, inclusive):

PURCHASES			Total Purchase or Acquisition Price (Excluding Commissions, Taxes and Fees) Please round off to the nearest whole dollar	Proof of Purchase Enclosed?
Trade Date(s) of Shares (List Chronologically)	Number of Shares Purchased or Acquired			
M M / D D / Y Y Y Y				
1. [ ] [ ] / [ ] [ ] / [ ] [ ] [ ] [ ]	[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]	\$	[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] . 00	<input type="radio"/> Y <input type="radio"/> N
2. [ ] [ ] / [ ] [ ] / [ ] [ ] [ ] [ ]	[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]	\$	[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] . 00	<input type="radio"/> Y <input type="radio"/> N
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IMPORTANT: (i) If any purchase listed covered a "short sale," please mark Yes:  Yes  
 (ii) If you received shares through an acquisition or merger, please identify the date, the share amount and the company acquired:

M M / D D / Y Y Y Y	Merger Shares	Company
[ ] [ ] / [ ] [ ] / [ ] [ ] [ ] [ ]	[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]	[ ] [ ]

C. Sales of Celera common stock (April 24, 2008 – October 20, 2009, inclusive):

SALES			Total Sales Price (Excluding Commissions, Taxes and Fees) Please round off to the nearest whole dollar	Proof of Sales Enclosed?
Trade Date(s) of Shares (List Chronologically)	Number of Shares Sold			
M M / D D / Y Y Y Y				
1. [ ] [ ] / [ ] [ ] / [ ] [ ] [ ] [ ]	[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]	\$	[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] . 00	<input type="radio"/> Y <input type="radio"/> N
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4. [ ] [ ] / [ ] [ ] / [ ] [ ] [ ] [ ]	[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]	\$	[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] . 00	<input type="radio"/> Y <input type="radio"/> N

D. Number of shares of Celera common stock held at the close of trading on July 22, 2009: [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] Proof Enclosed?  
 Y  N

E. Number of shares of Celera common stock held at the close of trading on October 20, 2009: [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] Proof Enclosed?  
 Y  N

**YOU MUST READ AND SIGN THE RELEASE ON PAGE 6. FAILURE TO SIGN THE RELEASE  
MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.**

<sup>1</sup> This includes purchasers of Celera Group common stock, a tracking stock of Applera Corporation, which traded on the New York Stock Exchange (NYSE) under the ticker CRA, and purchasers of Celera common stock after it split-off from Applera Corporation on July 1, 2008 to become an independent public company traded on the National Association of Securities Dealers Automatic Quotation System (NASDAQ) under the ticker CRA.



#### IV. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

I (We) submit this Proof of Claim under the terms of the Stipulation of Settlement described in the Notice. I (We) also submit to the jurisdiction of the United States District Court for the Northern District of California, with respect to my (our) claim as a Class Member and for purposes of enforcing the release set forth herein. I (We) further acknowledge that I am (we are) bound by and subject to the terms of any judgment that may be entered in the Litigation. I (We) agree to furnish additional information to the Claims Administrator to support this claim (including transactions in other Celera common stock) if requested to do so. I (We) have not submitted any other claim covering the same purchases, acquisitions, or sales of Celera common stock during the Class Period and know of no other person having done so on my (our) behalf.

#### V. RELEASE

1. I (We) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever settle, release, and discharge from the Released Claims each and all of the "Released Persons," defined as each and all of the Defendants and their Related Parties. "Related Parties" means each of a Defendant's past or present directors, officers, employees, partners, insurers, co-insurers, reinsurers, controlling shareholders, attorneys, accountants or auditors, personal or legal representatives, predecessors, successors, direct or indirect parents, direct or indirect subsidiaries, divisions, joint ventures, agents, assigns, spouses, heirs, executors, estates, administrators, related or affiliated entities, any entity in which a Defendant has a controlling interest, any members of any Individual Defendant's immediate family, or any trust of which any Individual Defendant is the settlor or which is for the benefit of any Individual Defendant or his or her family.

2. "Released Claims" means any and all claims, against Defendants and their Related Parties, based upon or arising out of both (a) the facts, transactions, events, occurrences, disclosures, statements, acts, omissions or failures to act which were or could have been alleged in the Litigation, and (b) the purchase or acquisition of Celera common stock by any Class Member during the Class Period. For avoidance of doubt, Released Claims do not include any derivative claims or ERISA claims, or claims to enforce the settlement.

3. "Unknown Claims" means any and all claims, demands, rights, liabilities, and causes of action of every nature and description which Lead Plaintiff or any Class Member does not know or suspect to exist in his, her, or its favor at or after the time he, she or it enters into the Stipulation, or at or after the release of the Released Persons which, if known by him, her, or it, might have affected his, her, or its settlement with and release of the Released Persons, or might have affected his, her, or its decisions with respect to this settlement. With respect to any and all Released Claims, the Settling Parties stipulate and agree that, upon the Effective Date, Lead Plaintiff shall expressly waive and relinquish, and each of the Class Members shall be deemed to have, and by operation of the Final Order and Judgment shall have, expressly waived and relinquished, the provisions, rights, and benefits of California Civil Code §1542, which provides:

**A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.**

Lead Plaintiff shall expressly waive and relinquish, and each of the Class Members shall be deemed to have, and by operation of the Final Order and Judgment shall have, expressly waived and relinquished, any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States, or principle of common law, which is similar, comparable or equivalent to California Civil Code §1542. Lead Plaintiff and/or Class Members may hereafter discover facts in addition to or different from those which he, she or it now knows or believes to be true with respect to the subject matter of the Released Claims, but Lead Plaintiff upon the Effective Date shall expressly, fully, finally, and forever settle and release and each Class Member, upon the Effective Date, shall be deemed to have, and by operation of the Final Order and Judgment shall have, fully, finally, and forever settled and released any and all Released Claims, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts.

4. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

5. I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in Celera common stock which occurred during the Class Period as well as the number of shares of Celera common stock held by me (us) at the close of trading on April 23, 2008, July 22, 2009, and October 20, 2009.



I (WE) DECLARE UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES OF AMERICA THAT ALL OF THE FOREGOING INFORMATION SUPPLIED ON THIS PROOF OF CLAIM AND RELEASE FORM BY THE UNDERSIGNED IS TRUE AND CORRECT.

Executed this \_\_\_\_\_ day of \_\_\_\_\_ in \_\_\_\_\_  
(Month/Year) (City/State/Country)

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(Sign your name here)

\_\_\_\_\_  
(Sign your name here)

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\_\_\_\_\_  
(Capacity of person(s) signing, e.g.,  
Beneficial Purchaser or Acquirer, Executor or Administrator)

\_\_\_\_\_  
(Capacity of person(s) signing, e.g.,  
Beneficial Purchaser or Acquirer, Executor or Administrator)

**ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.  
THANK YOU FOR YOUR PATIENCE.**

Reminder Checklist:

1. Please sign the above release and declaration.
2. If this Claim is being made on behalf of Joint Claimants, then both must sign.
3. Remember to attach copies of supporting documentation, if available.
4. **Do not send** originals of certificates.
5. Keep a copy of your claim form and all supporting documentation for your records.
6. If you desire an acknowledgment of receipt of your claim form please send it Certified Mail, Return Receipt Requested.
7. If you move, please send your new address to:  
*Celera Securities Litigation*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 8040  
San Rafael, CA 94912-8040
8. **Do not use red pen or highlighter** on the Proof of Claim or supporting documentation.

**THIS PROOF OF CLAIM MUST BE SUBMITTED ONLINE OR MAILED NO LATER THAN JUNE 28, 2015  
ADDRESSED AS FOLLOWS:**

*Celera Securities Litigation*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 8040  
San Rafael, CA 94912-8040  
[www.celerasecuritiessettlement.com](http://www.celerasecuritiessettlement.com)

